| <i>y</i> • | | | <u> </u> | | | | | |
|---|--|---|--|--|--|--|--|--|
| Prepared from FORM PTO-1390 Transmittal Letter to the United States Designat d/Elect d Office (DO/EO/US) | | | | | | | | |
| Customer No. | | 026418 | | | | | | |
| Attorney's Docket No.: | | GK-GEY-1112 / 500353.20135 | | | | | | |
| U.S. Application No.: | | JC07 Rec'd PCT/PTO 2 5 APR 2001 | | | | | | |
| International Application No.: | | PCT/EP00/08090 2 5 APR 2001 | | | | | | |
| International Filing Date: | | AUGUST 18, 2000 | 18 AUGUST 2000 | | | | | |
| Priority Date Claimed: | | AUGUST 25, 1999 | 25 AUGUST 1999 | | | | | |
| Title of Invention: | | METHOD FOR PRODUCING A LIGHT INTEGRATOR, A LIGHT INTEGRATOR, AND A USE THEREOF | | | | | | |
| Applicar | nt(s) for (DO/EO/US): | Peter DANNENBERG and Bernhard WAGNER | | | | | | |
| Applicar | nt herewith submits to t | he United States Designated/Elected Office (DO/EC | O/US) the following items and other information: | | | | | |
| This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. [X] 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)] a) is transmitted herewith (required only if not transmitted by the International Bureau) b) has been transmitted by the international Bureau c) is not required, as the application was filed in the United States Receiving Office (RO/US) A translation of the International Application into English [35 U.S.C. 371(c)(2)] Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)] a) are transmitted herewith (required only if not transmitted by the International Bureau) b) have been transmitted by the International Bureau c) have not been made; however, the time limit for making such amendments has NOT expired. d) have not been made and will not be made A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)] An UNSIGNED Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] Executed Decl/POA TO FOLLOW A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)] | | | | | | | | |
| [] 11. An Information Disclosure [] 2. An Assignment document is included. | | sure Statement under 37 C.F.R. 1.97 and 1.98 lent for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 | | | | | | |
| | (other items or information) PCT/RO/101, PCT/IB/301 and PCT/IB/304 dated 19OCT00, PCT/IB/308 and 1 st page of WO 01/14923 dated 01MAR01, Search Report (PCT/ISA/210 and PCT/ISA/210) 25JAN00 and PTO-1449 w/7 references (including 3 | | | | | | | |
| | English Abstrac | ct) | | | | | | |

EXPRESS MAIL No.: EL 758 525 142 US Deposited: April 25, 2001
I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Commissioner for Patents, Washington, DC 20231.

/Ruth Montalvo Date: April 25, 2001

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|--|---|------------|-----------------|-----|----------|---------------------------|---------------------|--------------|
| U.S. Application No. (if known, see 37 C.5 R. 1.50) International Application No.: PCT/EP0 | | Attorney's | · Do | JC. | Os sec,q | PCT/PTO EY-1112 / 5003 | 2,5,428 200 | |
| International Application No.: PCT/EPG | | | Allonleys | | CRE | | ALCULATIONS | PTO USE ONLY |
| [X] 17. The following fees are submitted: | | | | | | | | |
| BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)] | | | | | | | | |
| Search Report has been prepared by the EPO or JPO\$ 860.00 | | | | | | 860.00 | | |
| [] International preliminary examination fee | International preliminary examination fee paid to USPTO [37 CFR 1.482] \$ 690.00 | | | | | | | |
| | No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)\$ 710.00 | | | | | | | |
| , | Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO\$ 1,000.00 | | | | | | | |
| | [] International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4) | | | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT: | | | | | | _ | \$860.00 | |
| Claims | Number Filed | | Number Extra | Ra | ate | | | |
| Total Claims Prel. Amdt) | 12 | -20 | LXIIa | × | \$ | 18. = | | |
| Indep. Claims | 2 | -03 | | × | \$ | 80. = | _ | |
| [] Multiple Dependent Claim(s) (if applicable) | | | | + | | 270. = | | |
| TOTAL OF ABOVE CALCULATIONS: | | | | | | | \$860.00 | |
| Suicharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date [37 CFR 1.492(e)] | | | | | | | | |
| 12 13 | | | OF ABOVE (| | | | \$860.00 | |
| Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by ½ for filing by small entity | | | | | | | 4000.00 | |
| * <u>+</u> | | | | 100 | | JBTOTAL: | \$860.00 | |
| Processing fee of \$130.00 for furnishing the English Translation later than [] 20 [] 30 months from the earliest claimed priority date [37 CFR 1.492(f)] | | | | | | | | |
| | | | | | | NAL FEE: | \$860.00 | |
| Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31].\$ 40.00 per property + | | | | | | | | |
| | | | | TC | ATC | L FEE(S): | \$860.00 | |
| | AMOUNTE TO DE | | | | | | REFUNDED CHARGED | \$ \$ |
| (Please note the filing fee is based on the claims in the Preliminary Amendment) | | | | | | | | |
| [X] Check in the amount of \$ 860.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.) | | | | | | | | |
| NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status. | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: Gerald h. Kiel, Esq. Reed Smith LLP 375 Park Avenue New York, NY 10152 Gerald H. Kiel Name (Tel. (212) 521-5400) Signature SEND ALL CORRESPONDENCE TO: (Customer No. 026418) (April 25, 2001) Reg. No. Date | | | | | | | | |

UNITED STATES PATENT AND TRADEMARK OFFICE ANNEX U.S. 111

VERIFICATION OF A TRANSLATION

I, the below named translator, hereby declare that:

My name and post office address are as stated below:

That I am knowledgeable in the English language and in the language in which the below-identified international application was filed, and that I believe the English translation of the international application

PCT/EP00/08090 is a true and complete translation of the above-identified international application as filed.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| * | Date <u>April 12, 2001</u> |
|-----------------------------------|---------------------------------|
| Full name of the translator | Daniel Cooper |
| Signature of the translator | Donne Coops |
| Post Office Address 1310 Felicity | y Street, New Orleans, LA 70130 |